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| APPLICATION NO. FILING DATE | | ILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
|---|------|----------------|----------------------|-------------------------|------------------|--|
| 09/480,828 | (| 01/10/2000 | CHARLES S. TAYLOR | GUID-006CON6 | 4784 | |
| 24353 | 7590 | 08/27/2002 | | | | |
| | | D & FRANCIS LI | EXAMINER | | | |
| 200 MIDDLEFIELD RD SUITE 200 MENLO PARK, CA 94025 | | | | HO, UYEN T | | |
| | | | | ART UNIT | PAPER NUMBER | |
| | | | | 3731 | 3731 | |
| | | | | DATE MAILED: 08/27/2002 | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | Application No. | Applicant(s) | | | | | | |
|---|--|-----------------------------------|--|-------------|--|--|--|--|--|
| | Office Action Commons | 09/480,828 | TAYLOR ET AL. | Ov | | | | | |
| | Office Action Summary | Examiner | Art Unit | | | | | | |
| | | (Jackie) Tan-Uyen T. Ho | 3731 | | | | | | |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply | | | | | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely. - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication. - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status | | | | | | | | | |
| 1)🛛 | Responsive to communication(s) filed on 10 Ja | <u>anuary 2000</u> . | | | | | | | |
| 2a) <u></u> □ | This action is FINAL . 2b)⊠ This | s action is non-final. | | | | | | | |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. Disposition of Claims | | | | | | | | | |
| 4) 🖾 | Claim(s) <u>1-18</u> is/are pending in the application. | | | | | | | | |
| 4a) Of the above claim(s) is/are withdrawn from consideration. | | | | | | | | | |
| 5) Claim(s) is/are allowed. | | | | | | | | | |
| 6) Claim(s) is/are rejected. | | | | | | | | | |
| 7) Claim(s) is/are rejected. | | | | | | | | | |
| 8)⊠ Claim(s) <u>1-18</u> are subject to restriction and/or election requirement. | | | | | | | | | |
| Application Papers | | | | | | | | | |
| 9)□ T | The specification is objected to by the Examiner. | | | | | | | | |
| 10) ☐ The drawing(s) filed on is/are: a) ☐ accepted or b) ☐ objected to by the Examiner. | | | | | | | | | |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). | | | | | | | | | |
| 11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner. | | | | | | | | | |
| If approved, corrected drawings are required in reply to this Office action. | | | | | | | | | |
| 12) The oath or declaration is objected to by the Examiner. | | | | | | | | | |
| Priority under 35 U.S.C. §§ 119 and 120 | | | | | | | | | |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). | | | | | | | | | |
| a) ☐ All b) ☐ Some * c) ☐ None of: | | | | | | | | | |
| | 1. Certified copies of the priority documents | have been received. | | | | | | | |
| 2 | 2. Certified copies of the priority documents | | on No. | | | | | | |
| 3.☐ Copies of the certified copies of the priority documents have been received in this National Stage | | | | | | | | | |
| * Se | application from the International Bure ee the attached detailed Office action for a list o | eau (PCT Rule 17.2(a)). | | 90 | | | | | |
| 14) 🗌 Ad | cknowledgment is made of a claim for domestic | priority under 35 U.S.C. § 119(e) |) (to a provisional ap | plication). | | | | | |
| a) The translation of the foreign language provisional application has been received. 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121. | | | | | | | | | |
| Attachment(| s) | | | | | | | | |
| 2) 🔲 Notice | of References Cited (PTO-892) of Draftsperson's Patent Drawing Review (PTO-948) ation Disclosure Statement(s) (PTO-1449) Paper No(s) | 5) 🔲 Notice of Informal Pa | (PTO-413) Paper No(s)atent Application (PTO-15 | | | | | | |
| S. Patent and Tra | demark Office | | | | | | | | |

Application/Control Number: 09/480,828

Art Unit: 3731

DETAILED ACTION

Election/Restrictions

- 1. Restriction to one of the following inventions is required under 35 U.S.C. 121:
 - I. Claims 1-2 and 8-13, drawn to a minimally invasive coronary anastomosis procedure, classified in class 606, subclass 198.
 - Claims 3-7 and 14-18, drawn to a surgical device, classified in class 606, subclass 198.

The inventions are distinct, each from the other because of the following reasons:

- 2. Inventions I and II are related as process and apparatus for its practice. The inventions are distinct if it can be shown that either: (1) the process as claimed can be practiced by another materially different apparatus or by hand, or (2) the apparatus as claimed can be used to practice another and materially different process. (MPEP § 806.05(e)). In this case the apparatus as claimed can be used to practice another and materially different process for example the apparatus of invention II can be used with a method of heart bypass surgery.
- 3. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art because of their recognized divergent subject matter, restriction for examination purposes as indicated is proper.
- 4. A telephone call was made to Mr. Alan Cannon on 8/21/2002 to request an oral election to the above restriction requirement, but did not result in an election being made.

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Applicant is advised that the reply to this requirement to be complete must

include an election of the invention to be examined even though the requirement be

traversed (37 CFR 1.143).

5. Applicant is reminded that upon the cancellation of claims to a non-elected

invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one

or more of the currently named inventors is no longer an inventor of at least one claim

remaining in the application. Any amendment of inventorship must be accompanied by

a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to (Jackie) Tan-Uyen T. Ho whose telephone number is

(703) 306-3421. The examiner can normally be reached on MULTIFLEX Mon. to Sat..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Michael J. Milano can be reached on (703) 308-2496. The fax phone

numbers for the organization where this application or proceeding is assigned are (703)

305-3590 for regular communications and (703) 305-3590 for After Final

communications.

Any inquiry of a general nature or relating to the status of this application or

proceeding should be directed to the receptionist whose telephone number is (703) 308-

0858.

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(Jackie) Tan-Uyen T. Ho August 22, 2002 MICHAEL J. MILANO SUPERVISORY PATENT EXAMINER

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